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JC849 U.S. PTO

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January 11, 2002

BOX PATENT APPLICATION  
Assistant Director For Patents  
Washington, D.C. 20231

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 I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the date indicated above and is addressed to BOX PATENT APPLICATION, Assistant Director for Patents, Washington, DC 20231.

Derrick T. Gordon  
 Name of Person Mailing Paper or Fee

*[Signature]*  
 Signature

January 11, 2002  
 Date of Signature

Re: New U.S. Patent Application  
METHOD AND APPARATUS FOR MAINTAINING A UNIFORM NET  
ASSET VALUE PER SHARE OR UNIT  
By: Kenneth C. GRIFFIN  
Attorney Docket: 04110/00202

Dear Sir:

Enclosed for filing are the following papers relating to  
METHOD AND APPARATUS FOR MAINTAINING A UNIFORM NET ASSET VALUE PER  
SHARE OR UNIT, Kenneth C. GRIFFIN, inventor:

- (1) Specification (33 pages, including 14 claims and an abstract);
- (2) Executed Declaration and Power of Attorney (3 pages);
- (3) Formal Drawings (5 sheets);
- (4) Transmittal letter (1 page) with PrintEFS Patent Application Bibliographic Data (1 page); and

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- (5) Assignment (2 pages) of the invention to CITADEL INVESTMENT GROUP, L.L.C., with attached Recordation Form Cover Sheet (1 page) (in duplicate).

The amount of the filing fee is calculated below:

|                                    |           |             | Fee for small entity |       |    | Fee for other than small entity |       |
|------------------------------------|-----------|-------------|----------------------|-------|----|---------------------------------|-------|
| Fee                                | No. filed | No. extra * | Rate                 | Fee   | OR | Rate                            | Fee   |
| Basic fee                          |           |             |                      | \$370 | OR |                                 | \$740 |
| Total claims                       | 14-20=    | 0           | X \$9=               | \$0   | OR | X \$18=                         | \$-0- |
| Independent claims                 | 5-3=      | 2           | X \$42=              | \$0   | OR | X \$84=                         | \$168 |
| Multiple Dependent Claim Presented | 0         | 0           | +\$140=              | \$0   | OR | +\$280=                         | \$-0- |
|                                    |           |             | Total                | \$0   | OR | Total                           | \$908 |

\*If the difference in Column 1 is less than zero, enter "0" in Column 2.

Please charge the required fees (\$740.00 for the application filing fee, \$40.00 for the recordal of assignment fee and \$168.00 for the excess claims fee) to Sidley Austin Brown & Wood LLP's Deposit Account No. 18-1260. If additional fees are due, please charge any fees during the pendency of this application (other than the issue fee) to Deposit Account No. 18-1260. Please credit any overpayment to Deposit Account No. 18-1260.

This transmittal letter is being filed in duplicate.

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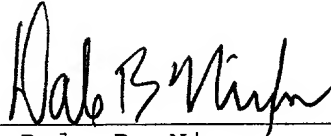
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All correspondence is to be directed to the address  
associated with the customer number 26116.

Respectfully submitted,

By:



Dale B. Nixon  
Registration No. 28,454  
Attorney for Applicant

DBN/rb  
Enclosures

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